



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO: KCX-680 (15049) DM-10/2003

In re Application of: Kronzer

Serial No: 09/614,829

Filed: July 12, 2000

Confirmation No: 3150

Title: Heat Transfer Material Having Meltable Layers
Separated by a Release Coating Layer

Group Art Unit: 1771

Examiner: Norca L. Torres-Velazquez

Our Account No: 04-1403

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U.S. Patent and Trademark Office
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Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[x] Attached hereto is:

- a.[x] A list of materials for consideration per Rule 98(a)(1): 3 page(s)
- b.[x] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):
81 item(s)
- c.[] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items:

[] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2.[x] This Information Disclosure Statement is being filed [CHECK ONE]:

- a.[x] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b.[] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i.[] Certification per Rule 97(e); OR
- ii[] Filing Fee per Rule 17(p)\$180.00
- c.[] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p)\$180.00

3.[] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a.[] That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b.[] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable

inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: _____ Signature: _____
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- 4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

- 5.[x] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

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By: Jason W. Johnston

Reg. No: 45,675

Signature: 

Date: May 27, 2004



(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: KCX-680 (15049)	Serial Number: 09/614,829
	Applicant: Francis J. Kronzer	
	Filing Date: July 12, 2000 Confirmation No: 3150	Group Art Unit: 1771

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
USSN _____, filed _____, or
USSN _____, filed _____;
Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS											
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE	
	Meyer, et al.	3	3	5	9	1	2	7	12/19/1967		
	Jachimowicz	3	6	1	6	1	7	6	10/26/1971		
	LaPerre, et al.	3	7	9	0	4	3	9	02/05/1974		
	Mollohan, et al.	3	8	7	2	0	4	0	03/18/1975		
	Asnes	3	9	2	2	4	3	5	11/25/1975		
	Hare	4	2	2	4	3	5	8	09/23/1980		
	Greenman	4	2	3	5	6	5	7	11/25/1980		
	Kronzer	4	2	4	0	8	0	7	12/23/1980		
	Andrews	4	3	0	3	7	1	7	12/01/1981		
	Heimbach, et al.	4	3	2	2	4	6	7	03/30/1982		
	Sanders, et al.	4	3	9	9	2	0	9	08/16/1983		
	Magnotta	4	5	3	6	4	3	4	08/20/1985		
	Galante	4	5	4	8	8	5	7	10/22/1985		
	Harris, Jr., et al.	4	7	5	8	9	5	2	07/19/1988		
	Mahn, Sr.	4	7	8	6	3	4	9	11/22/1988		
	Kronzer	4	8	6	3	7	8	1	09/05/1989		
	Okada, et al.	4	9	2	9	5	0	1	05/29/1990		
	Hare	4	9	6	6	8	1	5	10/30/1990		
	Hare	4	9	8	0	2	2	4	12/25/1990		
	Higashiyama, et al.	5	0	1	9	4	7	5	05/28/1991		
	Yamada, et al.	5	0	2	8	0	2	8	07/02/1991		
	Shibata, et al.	5	0	5	9	5	8	0	10/22/1991		
	Koshizuka, et al.	5	0	6	4	7	4	3	11/12/1991		
	Shimura, et al.	5	0	8	7	5	2	7	02/11/1992		
	Hiyoshi, et al.	5	1	1	0	3	8	9	05/05/1992		
	Hare	5	1	3	9	9	1	7	08/18/1992		
	Matsuda, et al.	5	1	5	1	3	2	6	09/29/1992		
	Hare	5	2	3	6	8	0	1	08/17/1993		
	Yamaguchi, et al.	5	2	4	8	5	4	3	09/28/1993		
	Yasuda, et al.	5	2	5	2	5	3	1	10/12/1993		
	Kronzer, et al.	5	2	7	1	9	9	0	12/21/1993		
	Matsuda, et al.	5	2	8	6	5	2	1	02/15/1994		
	Kawaguchi, et al.	5	3	3	4	4	3	9	08/02/1994		
	Mahn, Sr., et al.	5	3	3	8	6	0	3	08/16/1994		
	Katou, et al.	5	3	4	2	7	3	9	08/30/1994		



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U.S. PATENT DOCUMENTS										
EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE
	Kawasaki, et al.	5	3	6	2	7	0	3	11/08/1994	
	Fisch, et al.	5	3	7	2	9	8	7	12/13/1994	
	Takeuchi, et al.	5	3	7	2	9	8	8	12/13/1994	
	Mimura, et al.	5	4	0	7	7	2	4	04/18/1995	
	Mahn, Sr., et al.	5	4	1	3	8	4	1	05/09/1995	
	Hale, et al.	5	4	3	1	5	0	1	07/11/1995	
	Yoshimura	5	4	3	2	2	5	8	07/11/1995	
	Imamura, et al.	5	4	8	4	6	4	4	01/16/1996	
	Orensteen, et al.	5	5	0	8	1	0	5	04/16/1996	
	Kronzer	5	6	1	6	1	5	5	04/01/1997	
	Stokes, et al.	5	6	6	0	9	2	8	08/26/1997	
	Akada, et al.	5	7	0	7	9	2	5	01/13/1998	
	Yamaguchi, et al.	5	7	1	6	4	7	7	02/10/1998	
	Kronzer, et al.	5	7	1	6	9	0	0	02/10/1998	
	Kuo, et al.	5	7	7	0	2	6	8	06/23/1998	
	Kita, et al.	5	7	9	8	1	6	1	08/25/1998	
	Omote, et al.	5	8	4	6	3	6	7	12/08/1998	
	Olson, et al.	5	8	6	1	3	5	5	01/19/1999	
	Tanaka, et al.	5	8	7	9	8	1	3	03/09/1999	
	Kronzer	5	8	9	5	5	5	7	04/20/1999	
	Kronzer	5	9	2	5	7	1	2	07/20/1999	
	Chen, et al.	5	9	4	2	3	3	5	08/24/1999	
	Kronzer	5	9	4	5	3	7	5	08/31/1999	
	Kronzer	5	9	6	2	1	4	9	10/05/1999	
	Kuwabara, et al.	5	9	8	1	0	4	5	11/09/1999	
	Taniguchi	5	9	8	1	0	7	7	11/09/1999	
	Kronzer	6	0	3	3	7	3	9	03/07/2000	
	Tsuchiya, et al.	6	0	5	4	2	2	3	04/25/2000	
	Ueda, et al.	6	0	6	6	3	8	7	05/23/2000	
	Boyd, et al.	6	0	7	1	3	6	8	06/06/2000	
	Harris, et al.	6	1	0	3	3	6	4	08/15/2000	
	Kronzer	6	1	1	3	7	2	5	09/05/2000	
	Oshima, et al.	6	2	3	2	2	6	7	05/15/2001	
	Kronzer	6	2	8	1	1	6	6	08/28/2001	
	Kronzer	6	4	2	8	8	7	8	08/06/2002	
	Kronzer	6	4	3	2	5	4	9	08/13/2002	
	Kronzer	6	4	5	0	6	3	3	09/17/2002	
	Dalvey, et al.	6	4	9	7	7	8	1	12/24/2002	
	Dalvey, et al.	6	5	5	1	6	9	2	04/22/2003	

U.S. PATENT APPLICATION PUBLICATIONS										
EXAMINER INITIALS	APPLICANT'S NAME	PUBLICATION NUMBER							PUBLICATION DATE	COPY NOTE
	Kronzer	0	0	8	1	4	2	0	06/27/2002	
	Kronzer	0	1	4	6	5	4	4	10/10/2002	



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FOREIGN PATENT DOCUMENTS																
EXAMINER INITIALS		COUNTRY	DOCUMENT NUMBER								PUBLICATION DATE	TRANSLATION			COPY NOTE	
												YES	NO	N/A		
		EP	0	4	6	6	5	0	3	A1	01/15/1992			X		
		EP	0	9	3	3	2	2	6	B1	03/31/2004			X		
		WO	9	0	0	0	4	7	3	A1	01/25/1990			X		
		WO	9	2	2	2	8	5	7	A1	12/23/1992		X			
		WO	9	5	0	8	4	1	9	A1	03/30/1995			X		

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
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Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		